Mathematical Foundations of Machine Learning – Spring 2023

Summer Project list

General comments

- A. Use publicly available datasets such as the UCI machine learning repository (http://archive.ics.uci.edu/ml/index.php), Kaggle (https://www.kaggle.com/datasets), Pytorch (https://pytorch.org/vision/stable/datasets.html)
- B. In all experiments try to use 5 fold cross validation (split the dataset into 5 equal parts, where each fifth serves as testing in each iteration. Then present average results over the 5 iterations).
- C. For regression problems provide average error and std of error statistics (statistical significance is important!).
- D. For classification problems provide accuracy (TP+TN)/(P+N), precision TP/(TP+FP) and recall TP/(TP+FN) statistics.
- E. Perform hyper-parameter search and try to explain the logic of the best configuration.
- F. "Debug" your results: look at confusion matrices, investigate your false positives and negatives. Try to understand where your models fail and try to fix them.
- G. For ML problems compare your results to the results using standard models from Scikit-Learn, etc.
- H. For DL problems try to start with small datasets and small architectures and work your way from there. Some computations such as the SparsityProbe on deep & wide networks are relatively heavy. There needs to be a certain ratio between the size of the training dataset and the dimension of the layers, so as the samples are not too sparse in the high dimensional representation.
- I. Weights and Biases: In the following files, please insert your wandb credentials (wandb.login + wandb.init), or your scripts won't run:
 - 1. MFOML_CourseExamples/VisionSparsityProbeExperiments/train/train.py
 - 2. MFOML_CourseExamples/NeuralCollapse/Vision/init_loader.py
 - 3. MFOML_CourseExamples/NeuralCollapse/NLP/train_glue.py
 - 4. MFOML_CourseExamples/NLPSparsityProbeExperiments/train_glue_without_trainer.py
- J. Try to come up with other ideas beyond the basic project description.
- K. If you have a new research idea using the tools of this course, feel free to propose it. Please note that any project needs to have a "mathematical foundational" component to it. This means, some form of mathematical analysis of the model being built for the task at hand.

<u>Dates:</u>

- L. Project selection & team formation deadline: 10th July 2023.
- M. Submission Deadline: Friday 8th September 2023.
- N. Presentation day: Sunday 10th September 2023

<u>AWS credentials</u>: To be provided by Ido+Yuval personally. Please keep confidential and do not(!) distribute (or mine bitcoin with :-))

Course Image: CourseImageUpdated

Applied Projects

- 1. <u>Random Forest models</u> (/home/ubuntu/projects/MFOML_CourseExamples/workshop_examples.ipynb)
 - a. Find several interesting tabular datasets in UCI/Kaggle explain why you picked them. You should find datasets that are known to be difficult in the ML world.
 - b. Train using sklearn Random Forest and try different HyperParameter schemes.
 - c. Try to characterize the effects of different hyper-parameters.
- Feature importance via wavelet decomposition of RF Reproduce the feature importance results of [1] (See Lesson 3 Slides 30-36 +

/home/ubuntu/projects/MFOML_CourseExamples/workshop_examples.ipynb, Wavelet Forest code at: /home/ubuntu/projects/SparsityProbe/tree_models/random_forest.py)

- a. Provide summary of the wavelet-based method with emphasis on the use of the validation set to determine a threshold for wavelet norms.
- b. Test on regression & classification problems (multi-class problems).
- c. Observe differences (if any) on small/large/noisy datasets. Consider injecting random noise to the labels (additive Gaussian noise for regression, random mislabeling for % of the data in classification).
- d. Compare extensively with standard methods as in [1]
- <u>Compression with wavelet decomposition of RF</u> Reproduce and add to the results of [1] (See /home/ubuntu/projects/MFOML_CourseExamples/workshop_examples.ipynb, Wavelet Forest code at: /home/ubuntu/projects/SparsityProbe/tree_models/random_forest.py)

Investigate the RF compression capabilities of wavelets through tradeoff between the number of trees and tree components versus the prediction error.

 Deep Learning intermediate layer-smoothness plots - the effect of using different nonlinearities (see lesson 8, slide 45, example at:

home/ubuntu/projects/MFOML_CourseExamples/VisionSparsityProbeExperiments/train/train.py)

- a. Use initially the MNIST-1D and MNIST datasets (then perhaps expand to more)
- b. Choose networks from the following: Conv-L-H, MLP-L-H as described in [10] (implemented for you
 In folder

/home/ubuntu/projects/MFOML_CourseExamples/VisionSparsityProbeExperiments/environments
see: mnist_1D_Conv_env.py, mnist_MLP_env.py, mnist_Conv_env.py).

- c. Train networks with different non-linearities (ReLU, GELU, p-ReLU, Sigmoid, heaviside-function etc.) and try to recreate results from [10], using the Sparsity-Probe/Neural Collapse code to compute the smoothness/clustering in the matching intermediate layers.
- d. The question is if there exists correlation between the dynamics of the α smoothness/NCC across layers (computed on the training set) and the testing results (computed on testing data)?
- 5. <u>Function space analysis of ResNets</u> Add to the research of [3,10]
 - a. Use the CIFAR10 dataset and the ResNet18 (/home/ubuntu/projects/MFOML_CourseExamples/VisionSparsityProbeExperiments/environments /cifar10_resnet_env.py) network.

- b. Investigate the performance and perform Besov smoothness/NCC analysis of the intermediate layers with and without the residual connections [4].
- c. Create a new environment that is based on ResNet18(as done in (a)), but receives grayscale images. Run the same experiments and analysis on the MNIST dataset.
- d. The question is: does there exist correlation between the dynamics of the α smoothness/NCC across layers (computed on the training set) and the testing results (computed on testing data) with/without residual connections?

6. Function space analysis of Transfer learning

- a. Train a network on the CIFAR10 dataset (For example using ResNet18 like in /home/ubuntu/projects/MFOML_CourseExamples/VisionSparsityProbeExperiments/environments /cifar10_resnet_env.py).
- b. Perform Besov smoothness analysis/NCC mismatch computation.
- c. Apply transfer learning on a 'small' set of CIFAR100 using as basis a network from (a). This implies 'freezing' some of the first layers and re-training the last layers or creating and training new last layers. Note that CIFAR10 and CIFAR100 are mutually exclusive.
- d. Perform Besov smoothness/NCC accuracy analysis of the transfer-learning architecture using the full CIFAR100 set.
- e. One can use the smaller grayscale versions of the datasets if needed (you need to convert them to grayscale).
- 7. <u>SVSL loss</u> train vanilla vs SVSL vs non-batched SVSL (See code in

/home/ubuntu/projects/MFOML_CourseExamples/NeuralCollapse/Vision and Slides 8-14 in lesson 8)

- a. Choose a network from the following: VGG19, Resnet18, ConvNet, FCNet as described in [10].
- b. Train networks on the MNIST + Fashion MNIST datasets using: the Cross Entropy loss, (Batched) SVSL loss, and non-batched SVSL loss, using different parameters for η and γ .
- c. Compare the test scores + intermediate NCC scores achieved for different η and γ , and make claims to why this may be (use the results in [11] as guidance).
- 8. Understanding the encoder transformer hyperparameters through intermediate NCC accuracy
 - a. Choose NLP classification datasets.
 - b. One option is to fine tune a pre-trained encoder on these datasets: <u>https://huggingface.co/blog/how-to-train</u>
 - c. experiment with changes to inner transformer architectural parameters and effect on the intermediate layer NCC and testing results: token vector representation dimension, positional coding on/off, query/key/value dimensions, normalization (other then $8\sqrt{d_k}$).
- 9. <u>Numerical solutions to PDEs using DL</u> Follow [8] to apply a DL solution to a PDE
 - a. Use example 3.1.1 of [8] as a base for your experiments.
 - b. Compare your results to the analytic solutions or the results of other 'off-the-shelf' solvers.
 - c. Try to extend the algorithm to support a parameterized family of initial and/or boundary conditions, where the parameters are input to the neural network.

- 10. <u>Numerical solutions to ill-posed PDE problems using DL</u> Follow [14] and apply a DL approach to a toy example for inverse problems of the wave equation
 - a. Create via simulations a dataset of waves with time [0,500] and different source locations. Use as domain a square with a grid of 128x128.
 - b. Train a regression DL network on a dataset of images at time 500 to predict (x,y) source location.
 - c. Train a regression DL network on a dataset of images at various times [250,500] to predict source location.

Advanced Projects

- 11. Understanding the effects of backbone architecture on intermediate NCC accuracy in SSL
 - a. Choose a dataset (e.g. CIFAR100, CIFAR10, but not exclusively!)
 - b. Choose an SSL algorithm (e.g. VICReg [15]), and check the intermediate layer NCC with respect to different hierarchies. Try to recreate the results of [16].
 - c. How does the NCC change with respect to the arch? Check this thoroughly and explain.
- 12. Understanding the effects of SSL algorithm (VICReg, SimCLR, DINO) on the intermediate NCC
 - a. In [16], we showed that SimCLR and VICReg act quite similarly. Does this hold for a wide array of SSL algorithms? Try to incorporate as many different algorithm(also different in theme)
 - b. Can you think of a better algorithm? Show us!
- 13. Understanding SSL Encoder models performance
 - a. Follow the instructions in this blog <u>https://huggingface.co/blog/how-to-train</u> to train an encoder model from scratch. Use a dataset in english, which isn't too big.
 - b. Apply NCC analysis and/or other clustering metrics in the intermediate layers of classes from labeled data sets.
 - c. Vary the parameters (depth, width, number of heads, etc.) and see the effects on the clustering and the performance.
- 14. Phase Retrieval using DL Follow [13] and apply a DL approach to solve the phase retrieval problem
 - a. Use the MNIST and fashion-MNIST datasets
 - b. Construct an initial simple network with shallow layers that are fully connected and then deeper layers based on convolutions.
 - c. Try to implement a PR network based on the encoder-decoder approach of [13] with the Haar wavelet transform as the encoder-decoder.
- 15. <u>Spectral physics aware neural networks</u> Follow [17] and try to use a neural network architecture inspired by spectral methods
 - a. You should test linear and nonlinear equations, but you may restrict your experiments to the unit interval.
 - b. Reproduce the architectures of [17] that can take as input samples from the initial condition, a point on the unit interval, a time step and output an approximation to the solution.

References

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