#### Mathematical foundations of Machine Learning 2024 – lesson 7

#### Shai Dekel



## Computer vision/imagining applications of deep learning

Al-based image super resolution (enlarging an image with best possible quality/sharpness)













## **Data Curation for Super Resolution**

• Goal

Train a NN that takes as input a low-resolution image and outputs a high-resolution

image (no blur, fine details).

- Training Dataset
  - 120,000 images
  - Ground truth High resolution images
  - Input images low resolution images produced from ground truth

## Starting point (CVPR 2016)

#### Real-Time Single Image and Video Super-Resolution Using an Efficient Sub-Pixel Convolutional Neural Network

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## **Super-resolution network architecture**

- Key architectural concept advanced nonlinear 'interpolation' through learning.
- Loss function combination of 'pixel-to-pixel' MSE loss & <u>AI-based "visual</u> <u>loss"</u>
- JPEG images Additional preprocessing network for de-blocking



#### **Another non-linearity: Leaky ReLU**



#### Python code for x4 network

```
def net subpixel(input shape, r=4, activation='sigmoid', num channels=3, **kwargs):
    input = Input(shape=input shape, name='input')
   11 = Conv2D(64, (5, 5))
                strides=(1, 1),
                padding='same',
               activation=None,
                name='convl')(input)
   11 = LeakyReLU(0.2)(11)
   12 = Conv2D(64, (5, 5))
                strides=(1, 1),
                padding='same',
                activation=None, name='conv2')(11)
   12 = LeakyReLU(0.2)(12)
   13 = Conv2D(int(num channels * r * r), (5, 5), activation=None, padding='same',
                name='subp3')(12)
   out = Activation(activation, name='gen out')(13)
    # if activation == 'relu':
         out = Lambda (lambda clip) (out)
    ŧ.
    out = Lambda(reshape subpixel, arguments={'r': r, 'num channels': num channels})(out)
   model = Model(inputs=input, outputs=out)
```

#### **Pre-trained VGG Net (2015)**



#### **VGG content Loss**

• Select a layer j (e.g. j = 3). It has a 'feature map' of dimension  $C_j \times H_j \times W_j$ 

# Feature maps Feature maps height Feature maps width

- Let  $\phi_j(x)$  be the 'feature map' activation of any input image x  $j_1 \le j \le j_2$
- The content loss uses pre-determined shallow layers

$$Loss_{\text{content}}(x,\hat{x}) \coloneqq \sum_{j=j_1}^{J_2} \sum_{(c,h,w)_j} (\phi_j(x)(c,h,w) - \phi_j(\hat{x})(c,h,w))^2$$
$$Loss_{\text{MSE}}(x,\hat{x}) \coloneqq \frac{1}{\#\text{pixels}} \sum_i (x_i - \hat{x}_i)^2$$

$$Loss(x_{\text{Net Out}}, x_{\text{GT}}) \coloneqq Loss_{\text{MSE}}(x_{\text{Net Out}}, x_{\text{GT}}) + \lambda Loss_{\text{content}}(x_{\text{Net Out}}, x_{\text{GT}})$$

Style Transfer

































#### Starting point (CVPR 2016)

#### Image Style Transfer Using Convolutional Neural Networks

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## **Style Transfer Architecture**



## **VGG Style Loss**

• Select a layer j (e.g. j = 3). It has a 'feature map' of dimension

 $C_j \times H_j \times W_j$ 

- Let  $\phi_j(x)$  be the 'feature map' activation of any input image x
- We compute the Gram matrix of the feature pairs (c,c')

$$G_{j}(x)(c,c') := \frac{1}{H_{j} \times W_{j}} \sum_{h=1}^{H_{j}} \sum_{w=1}^{W_{j}} \phi_{j}(x)(c,h,w) \phi_{j}(x)(c',h,w)$$

• The style loss function with a reference style image  $y_s$  is then

$$Loss_{\text{style}}\left(y_{s}, \hat{y}\right) \coloneqq \sum_{j=j_{1}}^{j_{2}} w_{j} \underbrace{\left\|G_{j}\left(y_{s}\right) - G_{j}\left(\hat{y}\right)\right\|_{F}^{2}}_{\text{Matrix distance}} \qquad \left\|A\right\|_{F} \coloneqq \sqrt{\sum_{k_{1},k_{2}} a_{k_{1},k_{2}}^{2}}$$

#### Fast near-real time style transfer

- For an input content image  $y_c$ , initialize  $\hat{y}^{(0)} = y_c$
- Run a few 'uncontrollable' iterations towards minimization of style loss.

$$Loss_{style}(y_s, \hat{y}) \coloneqq \sum_{j=j_1}^{j_2} \left\| G_j(y_s) - G_j(\hat{y}) \right\|_F^2$$

$$\hat{y}^{(k+1)} = \hat{y}^{(k)} + \eta \nabla Loss_{\text{style}} \Big|_{\hat{y}} \Big( y_s, \hat{y}^{(k)} \Big)$$

# Al-based portrait segmentation

#### **Segment Anything**

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Meta AI Research, FAIR





#### **Portrait segmentation - Examples**





#### **Portrait segmentation - Examples**





### **Data Curation for Portrait segmentation**

- Manual "Ground truth" segmentation crowdsourcing & designers
- Training set 30,000 manually segmented portraits
- Testing set 2,000
- Quality metric IoU (Intersection / Union) of ground truth & segmentation



This CVPR paper is the Open Access version, provided by the Computer Vision Foundation. Except for this watermark, it is identical to the version available on IEEE Xplore.

#### Pyramid Scene Parsing Network

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#### Abstract

Scene parsing is challenging for unrestricted open vocabulary and diverse scenes. In this paper, we exploit the capability of global context information by different-regionbased context aggregation through our pyramid pooling module together with the proposed pyramid scene parsing network (PSPNet). Our global prior representation is effective to produce good quality results on the scene parsing task, while PSPNet provides a superior framework for pixellevel prediction. The proposed approach achieves state-ofthe-art performance on various datasets. It came first in ImageNet scene parsing challenge 2016, PASCAL VOC 2012 benchmark and Cityscapes benchmark. A single PSPNet yields the new record of mIoU accuracy 85.4% on PASCAL VOC 2012 and accuracy 80.2% on Cityscapes.



(a) Image (b) Ground Truth Figure 1. Illustration of complex scenes in ADE20K dataset.

## **Portrait segmentation pipeline**



(a) Input





## The Pyramid Scene Parsing Network (PSPNet)



#### Loss functions for segmentation

Let  $p = (p_1, ..., p_n)$  be the ground truth labels of the segmentation. This means that  $p_i \in \{0,1\}$ . Let  $\tilde{p} = (\tilde{p}_1, ..., \tilde{p}_n)$  be an approximation (e.g., as generated through a deep learning network) of the segmentation, with  $0 \le \tilde{p}_i \le 1$ . One can ensure that the network outputs 'probability pixels' by applying at the last layer at each pixel the logistic function

$$\sigma(t) \coloneqq \frac{1}{1 + e^{-t}}$$

Negative log-likelihood as a loss for image segmentation

We can define a loss per image

$$-\sum_{i=1}^{n} p_i \log \tilde{p}_i + (1-p_i) \log(1-\tilde{p}_i)$$

### **Jaccard loss for segmentation**

It is clear that in unbalanced cases, where there are more ground truth background pixels than ground truth object pixels, the standard negative log-likelihood loss is potentially biased.

One would like to train a DL segmentation network and measure/maximize performance using Intersection Over Union (IoU) loss, which is also called the **Jaccard loss** 

$$0 \le \frac{|A \cap B|}{|A \cup B|} \le 1$$

The Jaccard index in our special is

$$J(p, \tilde{p}) = \frac{\#\{i : p_i = 1 \text{ and } \tilde{p}_i \ge 0.5\}}{\#\{i : p_i = 1 \text{ or } \tilde{p}_i \ge 0.5\}}$$

Since the Jaccard index is not differentiable, we look for a surrogate. First note that

$$\frac{|A \cap B|}{|A \cup B|} = \frac{|A \cap B|}{|A| + |B| - |A \cap B|},$$

Let

$$\langle p, \tilde{p} \rangle = \sum_{i=1}^{n} p_i \tilde{p}_i, \qquad |p|_1 = \sum_{i=1}^{n} p_i, \qquad |\tilde{p}|_1 = \sum_{i=1}^{n} \tilde{p}_i.$$

We define a differentiable 'loss' function that we wish to maximize

$$\tilde{J}(p,\tilde{p}) := \frac{\langle p,\tilde{p} \rangle}{|p|_1 + |\tilde{p}|_1 - \langle p,\tilde{p} \rangle}.$$

Note that in the special case where  $\tilde{p}_i \in \{0,1\}, i = 1,...,n$ , we get

$$\tilde{J}(p,\tilde{p}) = J(p,\tilde{p}).$$

The log-likelihood and Jaccard are typically combined with a weight.

## Auto - correction



BEFORE (go to next slide for after)





## Phase Retrieval (example for a machine learning approach to inverse problems)

\* S. Dekel and L. Gugel, PR-DAD: Phase Retrieval Using Deep Auto-Decoders, *7th International Conference on Frontiers of Signal Processing (ICFSP)*, 2022, pp. 165-172

## The Fourier transform

- 2\_
- The Fourier transform is an invertible transform to a frequency representation

• Continuous form 
$$\mathcal{F}(f)(w) = \hat{f}(w) \coloneqq \int_{\mathbb{R}^n} f(y) e^{-i\langle y,w \rangle} dy$$
,  $w \in \mathbb{R}^n$ .

- Discrete univariate form for input  $\{f_j\}_{j=0}^{N-1}$   $\hat{f}_k = \sum_{i=0}^{N-1} f_j e^{-\frac{i2\pi}{N}kj}$
- Note that the coefficients are complex, even if the samples are real
- Euler form of a complex  $z = re^{i\theta}$ ,  $r \in \mathbb{R}_+$  is the magnitude.

#### Phase Retrieval: problem formulation



- $x \in \mathbb{R}^{n \times n}$ , where  $\mathcal{F}(x) = \omega e^{-i\varphi}$ ,  $\omega$  magnitude and  $\varphi$  phase of Fourier transform
- PR is an ill-posed inverse problem: recover x from  $\omega$

#### How critical is the phase?



Fig. 1. Most information about the image is contained in the phase, which can be demonstrated by exchanging the phase with a random phase. For comparison we also exchange the magnitude with a random magnitude. Original image x, original magnitude  $\omega$ , random phase  $\tilde{\varphi}$ , image obtained by combining the original magnitude and the random phase  $x_{\tilde{\varphi}}$ , original phase  $\varphi$ , random magnitude  $\tilde{\omega}$ , image obtained by combining the original magnitude and the random phase and the random magnitude  $x_{\tilde{\omega}}$ .

#### Use cases in imaging

#### Electro-microscopy images







Fast MRI



## Alternating projection-based algorithm

- $F_M$  DFT matrix  $(M \times M)$ ,  $P_N$  zero padding matrix  $(N \times M)$  for  $x \in \mathbb{C}^N$
- Input: DFT magnitude  $\omega$ , random initialization of  $x_0$

Loop

- $\hat{x}_i = F_M P_N x_i$
- Compute current phase  $u_i = \frac{x_i}{|\hat{x}_i|}$
- Replace magnitude by ground truth magnitude  $z_i = F_M^T (\omega \circ u_i)$ , where  $\circ$  is the element-wise product
- Enforce known constraints on solution  $x_i = \mathcal{H}(z_i)$ . For example, projection onto real non-negative values

• *i*= *i*+1

## PR-DAD – Finding a sparse encoding space of the image class

- Our algorithm is based on finding good sparse representations for the given image class.
- Sparsity of a representation  $T(x) = \{T_j(x)\}, \|T(x)\|_{l_1} = \sum |T_j(x)|$
- The  $l_1$  norm "approximates" the  $l_0$  that counts non-zero elements.
- In our work we show two options/architectures for *T*: fixed transform, trained encoder





## PR-DAD I: Phase Retrieval Using un-supervised Haar wavelet packet transform

- Encoding space coefficients of a <u>fixed(!)</u> wavelet packet transform.
- We assume the image class is <u>sparse(!)</u> in this representation.
- Projections onto representation are linear.
- The decoder is then the inverse wavelet packet transform → plugged as a component of the PR network.



#### PR-DAD II: Phase Retrieval Using Deep Auto-Decoder

- Encoder decoder architecture first "learns" the image class from existing ground truth images.
- We use augmentation or add synthetic data if not enough ground truth images are available.
- Encoding space over-sampled representation composed of low resolution components.
- We <u>train(!)</u> the encoding representation to be sparse.
- Projections onto representation are non linear using an NN encoder.
- The decoder is then then plugged as part of the PR network.



#### PR-DAD II: Phase Retrieval Using Deep Auto-Decoder



- <u>ConvDoubleBlock</u>
  - Conv2D: kernel=3x3, stride=1, padding=replicate/zeros
  - 2DBatchNormalization
  - Non-linear activation: ReLu/Prelu
  - ...repeat once more

- DownConvBlock:
  - ConvDoubleBlock
  - Average Pooling: kernel=2x2

#### Encoder:

- DownConvBlock #1
- DownConvBlock #2
- DownConvBlock #3

- UpConvBlock:
  - UpSampling: bilinear interpolation, scale x2
  - ConvDoubleBlock

#### **Decoder:**

- UpConvBlock #1
- UpConvBlock #2
- UpConvBlock #3

#### Phase Retrieval network





#### **Fully connected block:**

- Linear transform with bias  $Ax_{in}$ +B
- 2D Batch normalization
- Non linear activation: PReLU

#### MLP (Multi Layer Perceptron):

- Fully connected block #1: scale factor x2
- Fully connected block #2: scale factor x2
- Fully connected block #3: output flatten features map size

$$PReLU_{a}(x) := \begin{cases} x, & x \ge 0, \\ ax, & x < 0. \end{cases}$$
$$PReLU_{0}(x) = ReLU(x)$$

#### ConvNet:

- Double Conv block #1
- Double Conv block #2
- Double Conv block #3

#### **MNIST encoder features**





#### EMNIST

EMNIST Balanced: 28x28 gray scale 131K images, 47 classes, train: 112.8 K, test: 18.8K



#### **KMNIST**

Kuzushiji-MNIST is a drop-in replacement for the MNIST dataset 28x28 grayscale, 70,000 images 10 classes, based on Kuzushiji Dataset created by National Institute of Japanese Literature



#### Fashion MNIST

Dataset of <u>Zalando</u>'s article 27x27 grayscale images—consisting of a training set of 60K and a test set of 10K.



#### **PR-DAD: data preparation**

- MNIST datasets: up-scaling to 32x32
- CelebA facial images:
  - centre cropping to 120x120
  - Up scaling 64x64
  - Map from RGB to Grayscale
- Normalization to range [0, 1]
- Random Augmentation, prob in (0.25, 0.5):
  - Geometric transforms
    - Vertical/Horizontal flipping
    - Free Rotation in range  $(-\theta, \theta)$
    - Scaling
  - Color transforms:
    - Sharpness
    - Gaussian Blur
    - Gamma Transform





#### **Encoder-decoder loss functions**

- L2 loss, rotation 180 invariant
- L1 sparsity of encoded representation



#### **PR-DAD: Loss functions**



#### Loss function - 180 rotation invariance



$$loss(x, y) = min(||x - y|| , ||x - R_{180}(y)|| )$$

### Ablation study: adding iFFT/iDCT component



- Initially it made sense to add
- Covered/learnt by MLP subnet

#### Ablation study: using only conv nets

Convolutions do not make sense in the Fourier domain !



#### Ablation study: Actual phase recovery



#### Alternative architecture: DeepPhaseCut



#### **PR-DAD: Evaluation metrics**

180 rotation invariant metrics

- MSE L2
- MAE L1

Similarity loss 
$$SSIM = \frac{(2\mu_{\tilde{\boldsymbol{x}}}\mu_{\boldsymbol{x}} + c_1)(2\sigma_{\tilde{\boldsymbol{x}}\boldsymbol{x}} + c_2)}{(\mu_{\tilde{\boldsymbol{x}}}^2 + \mu_{\boldsymbol{x}}^2 + c_1)(\sigma_{\tilde{\boldsymbol{x}}}^2 + \sigma_{\boldsymbol{x}}^2 + c_2)}$$

• PSNR = 
$$20 \cdot \log_{10} \left( \frac{MAX_{\boldsymbol{x}}}{\sqrt{MSE(\tilde{\boldsymbol{x}}, \boldsymbol{x})}} \right),$$

----

TABLE II		
QUANTITATIVE COMPARISON ON 7	THE MNIST	DATASET

Model	MSE	MAE	SSIM	PSNR
PRCGAN [16]	0.0168	0.0399	0.8449	-
CPR [15]	0.0123	0.037	0.8756	-
PR-DAD Haar Packet	0.0106	0.0381	0.8815	39.4861
PR-DAD auto encoder-	0.0100	0.0398	0.8799	40.0208
decoder				

#### TABLE IV QUANTITATIVE COMPARISON ON THE KMNIST DATASET

Model	MSE	MAE	SSIM	PSNR
PRCGAN [16]	0.0651	0.1166	0.5711	-
CPR [15]	0.0433	0.1034	0.6624	-
PR-DAD Haar Packet	0.0383	0.1027	0.6365	28.3249
PR-DAD auto encoder-	0.0380	0.0957	0.6605	28.4031
decoder				

#### TABLE III QUANTITATIVE COMPARISON ON THE EMNIST DATASET

Model	MSE	MAE	SSIM	PSNR
PRCGAN [16]	0.0239	0.0601	0.8082	-
CPR [15]	0.0144	0.0501	0.8700	-
PR-DAD Haar Packet	0.0119	0.0475	0.8710	38.4744
PR-DAD auto encoder-	0.0108	0.0422	0.8879	39.2972
decoder				

TABLE V QUANTITATIVE COMPARISON ON THE FASHION-MNIST DATASET

Model	MSE	MAE	SSIM	PSNR
PRCGAN [16]	0.0151	0.0572	0.7749	-
CPR [15]	0.0113	0.0497	0.8092	-
PR-DAD Haar Packet	0.0078	0.0471	0.8186	42.1862
PR-DAD auto encoder-	0.0081	0.0442	0.8242	41.811
decoder				

Model	MSE	MAE	SSIM	PSNR
PRCGAN [16]	0.0138	0.0804	0.6779	n/a
HIO [6]	n/a	n/a	0.472	19.573
PhaseCut [17]	n/a	n/a	0.7600	25.3600
On-RED [18]	n/a	n/a	0.4940	19.7960
PrDeep [13]	n/a	n/a	0.7380	26.0579
DeepPhaseCut [4]	n/a	n/a	0.8540	27.1190
PR-DAD	0.0025	0.0340	0.8815	51.9661

TABLE VI QUANTITATIVE COMPARISON ON THE CROPPED CELEBA $64\times64$  dataset



Fig. 7. Top - cropped CelebA original images, bottom - cropped celebA recovered images